

## DEVELOPMENT AND CHARACTERIZATION OF INDOMETHACIN-LOADED MUCOADHESIVE NANOSTRUCTURED LIPID CARRIERS FOR TOPICAL OCULAR DELIVERY

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### ABSTRACT

**Objective:** To develop and characterize indomethacin loaded-nanostructured lipid carriers (IND-NLCs) for topical ophthalmic delivery with different particle sizes and polymer coating to improve the mucoadhesive property on the ocular surface.

**Methods:** Nanostructured lipid carriers (NLCs) with different solid lipids and surfactants were prepared by the high-pressure homogenization technique. The optimized IND-NLCs was coated with polyethylene glycol 400 (PEG). The physicochemical properties and entrapment efficacy (EE) were examined. *In vitro* release studies were investigated using the shake-flask method. *Ex vivo* mucoadhesive studies were assessed by the wash-off test. In addition, the cytotoxicity was assessed by the short time exposure test.

**Results:** IND-NLCs of ~300 and ~40 nm in diameter were successfully produced with a zeta potential of -30 mV and EE of 60–70 %. IND-NLCs prepared with Tween 80 as surfactant could be sterilized by autoclaving. The PEG coating of IND-NLCs did not affect either the particle size or EE. *In vitro* release showed a prolonged release for 360 min with a burst release of 50-60% occurring within 5 min. The smaller-sized IND-NLCs showed slightly faster release rates and better mucoadhesion to cornea compared to the larger IND-NLCs. PEG-coated IND-NLCs showed the highest mucoadhesion. In addition, IND-NLCs showed less cytotoxicity compared to IND alone.

**Conclusion:** The small and PEG-coated NLCs represents a potentially useful carrier for safe delivery of indomethacin to the ocular surface with increased residence time.

**Keywords:** Indomethacin, Topical ocular delivery, Nanostructured lipid carriers, Polyethylene glycol, Mucoadhesion

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### INTRODUCTION

Indomethacin (IND), a common non-steroidal anti-inflammatory drug (NSAID) in ocular therapeutics, is administered topically on the ocular surface for clinical management of conjunctivitis, anterior uveitis, and post-operative inflammation following cataract surgery [1]. Although it is stable at lower pH, IND possesses a low solubility of 3-5 mg/100 ml at pH 5.6 [2]. IND can be rendered soluble in aqueous buffers at pH 7.5-8.0 but with a risk of its hydrolysis into 5-methoxy-2-methyl indolyl-3-acetic acid and 4-chlorobenzene acid [3]. Therefore, IND is formulated in the form of gels and suspensions to improve the solubility and stability [2, 3]. A polyethylene glycol (PEG)-based formulation of 0.1% indomethacin solution (Indocollyre<sup>®</sup>) is commercially available but it is known to exhibit poor bioavailability and cause irritation, superficial punctate keratitis, and local pain [4]. Accordingly, nano-carriers drug delivery systems have been proposed as potential alternatives [5-8].

Nano-carrier systems, in general, are able to encapsulate drug and thereby protect them against degradation. Also, nanoparticles can be mucoadhesive, and accordingly show increased their retention time on the ocular surface. These characteristics are suitable for enhanced stability and topical bioavailability of IND [9]. Among the nano-carrier systems, nanostructured lipid carriers (NLCs) possess unique characteristics that are promising for ophthalmic drug delivery. They have been developed to combine the advantages of other colloidal carriers but without their disadvantages [10]. For example, NLCs can be prepared using physiological lipids similar to nanoemulsions and liposomes, thus, providing less significant toxicity and acidic related inflammation problems compared to polymeric nanoparticles such as PLGA nanoparticles [11, 12]. Moreover, NLCs show better physical stability than nanoemulsions and liposomes by remaining in a solid state at room and body temperature. NLCs are composed of the imperfect crystalline structure of lipid matrix which formed by a mixture of solid and

liquid lipid, and stabilized by surfactants. Therefore, the drug payload is increased, and expulsion of the drug during storage is avoided as compared to solid lipid nanoparticles (SLNs) [13].

A major challenge of topical ocular drug delivery is the poor bioavailability of the drug at the ocular surface and the anterior chamber [12]. Employing nano-carrier systems, the topical bioavailability can be improved by enhancing their residence time and corneal epithelial uptake [12]. Both parameters are strongly affected by the particle size and surface modification [11]. Although, Balguri *et al.* have recently reported increased bioavailability of IND to ocular tissues with IND-loaded NLCs [7], the effect of particle size and surface modifications have not been delineated.

Therefore, the aim of this study was to develop IND-loaded NLCs (IND-NLCs) with different types of solid lipids and surfactants which improve the mucoadhesive property on the corneal surface. Surface-modification of IND-NLCs with polyethylene glycol 400 (PEG) was also undertaken. Physicochemical properties of the developed IND-NLCs were evaluated in terms of particle size, zeta potential, drug entrapment efficacy, and *in vitro* release study. The effect of the autoclaving method on the physicochemical stability of IND-NLCs was investigated. The retention of IND-NLCs on porcine cornea mucosa was determined using *ex vivo* mucoadhesive studies. In addition, *in vitro* cytotoxicity of the optimized IND-NLCs was investigated by the short time exposure test (STE) in primary porcine corneal epithelial (PCE) cells.

### MATERIALS AND METHODS

#### Materials

Gelucire 44/14 (lauryl macrogol-32 glyceride) and Compritol 888 ATO (Compritol, glyceryl behenate) were kindly gifted by Gattefossé (Cedex, France). Lexol GT865 (medium chain triglyceride), cetyl palmitate and squalene were purchased from Namsiang trading

(Bangkok, Thailand). Tween 80 (Polysorbate 80) was acquired from AjaxFinechem (Sydney, Australia). Emulmetik 900 was purchased from Lucas Meyer (Ludwigshafen, Germany). Indomethacin (Lot BCBK0293) was acquired from Sigma-Aldrich (China). AmiconUltra 10K centrifugal filter was kindly gifted from Merck Millipore (Massachusetts, USA). Methanol and Acetonitrile used as HPLC solvent were purchased in HPLC grade quality from Lab Scan.

Keratinocyte serum-free medium (K-SFM), bovine pituitary extract, recombinant human epidermal growth factor (EGF), and Gibco antibiotic-antimycotic (100x) were purchased from Invitrogen (California, USA). Hydrocortisone solution, human insulin solution, bovine serum albumin (BSA), bovine collagen type I, and human fibronectin were obtained from Sigma-Aldrich (Steinheim, Germany).

#### Preparation of blank-NLCs and IND-NLCs

IND-NLCs were prepared by a high-pressure homogenization technique. Briefly, the lipid phase contained 50 mg of IND, 2% (w/w) of squalene, 2% (w/w) of Emulmetik 900, 2% (w/w) of Lexol GT865, and 3% (w/w) of solid lipid was heated at 80 °C. Meanwhile, the aqueous phase consisting of 7% (w/w) of surfactant dissolved in distilled water was heated at 80 °C and slowly added to the oil phase. The obtained a primary emulsion was subjected to a high-speed homogenizer at 5000 rpm (T18, IKA, Staufen, Germany) for 1 min before subjected to a high-pressure homogenizer (M-110P, Microfluidics, Massachusetts, USA) applying 5 cycles at 1500 bar.

The resulting hot o/w microemulsion was cooled at 25 °C, re-solidification the lipid and forming the NLCs. Finally, the obtained NLCs was washed twice with a normal saline solution (NSS) using an ultrafiltration system (Amicon 8400, Massachusetts, USA) fitted with a molecular weight cut off 100 kDa membrane to remove the excess components and unencapsulated IND. Blank-NLCs were prepared with the same method as described above without adding IND. NLCs were prepared by varying the type of solid lipid and surfactant namely; NLC1 (Compritol888 ATO and Tween 80); NLC2 (cetyl palmitate and Tween 80); NLC3 (Compritol888 ATO and Gelucire 44/4); and NLC4 (cetyl palmitate and Gelucire 44/4). The selection of these variables was based on preliminary experiments.

To prepare the mucoadhesive NLCs, NLC2 and IND-NLC2 were incubated with 0.1% (w/v) PEG 400 at a ratio of 1:1 and stirred at 500 rpm for 30 min (C-MAG HS7, Guangzhou, China). The obtained polymer coated NLC2 (NLC2-PEG) and polymer-coated IND-NLC2 (IND-NLC2-PEG) were washed as described above. All samples were prepared in triplicate.

#### Sterilization by autoclaving

Blank-NLCs and IND-NLCs were placed in a glass vial and sealed with rubber stoppers and aluminium caps. Then, the samples were sterilized by steam sterilization at 121 °C for 15 min at 2 bar. After the autoclaving process, the sterilized formulations were characterized the particle size, zeta potential, and drug remaining (%).

#### Drug incorporation efficiency

The content of IND incorporated into NLCs was determined by extraction method. Briefly, 100 µl of IND-NLCs was mixed with 900 µl of a mixture of 1 M HCl and methanol (1:90, v/v) and sonicated at 40% amplitude for 30 seconds by the ultrasonic probe (VCX130, Connecticut, USA). The sample was then centrifuged at 31,514 ×g for 10 min; then the supernatant was collected to determine the amount of IND using a modified high-performance liquid chromatography (HPLC) assay of Nováková L *et al.* [14]. The HPLC system (LC10AT, Shimadzu, Kyoto Japan) composed of an autosampler model SIL-10ADVP, a pump system model LC20-AT, and a UV/VIS detector model SPD-20A. Twenty µl of the sample was injected onto a Gemini 5u C18 110A (5 µm, 150 × 4.6 mm) which was kept at 25 °C. The mobile phase consisted of acetonitrile and 0.2% (v/v) orthophosphoric acid at the volume ratio of 65:35 (v/v) was delivered at a flow rate of 1.2 ml/min. The UV detection was set at 270 nm. IND was quantified from its peak area using calibration curve of IND established from the range of 1 to 50 µg/ml. The percentage of drug incorporation efficiency was calculated as [(Amount of extracted IND) × 100]/(Initial amount of IND).

#### Physico-chemical characterization of blank-NLCs and IND-NLCs

The mean particle size and polydispersity index (PI) were determined by dynamic light scattering (DLS) with a ZetaPALS® analyzer (Brookhaven 90Plus, New York, USA). This instrument was equipped with a 35 mW HeNe laser diode operating at 632.8 nm and a BI-200SM Goniometer connected to a BI-9010AT digital correlator. Samples were dispersed in DI water and run for 10 measurement cycles. The mean particle size and PI values were obtained by the auto measuring mode at a fixed angle of 90°. All samples were performed in triplicate.

The zeta potential determined by measuring the particle electrophoretic mobility using the ZetaPAL® analyzer. The measurement was then carried out at 25 °C and angle of 14.8° to the incident light. The zeta potential was calculated based on the Smoluchowski equation. The measurement was performed for 5 cycles.

The transmission electron microscope (TEM, Tecnai 12, Philips, USA) was used to examine the morphology of NLCs and IND-NLCs by negative staining method. Twenty µl of sample was deposited on a carbon-coated 300 mesh copper grid. Then, 10 µl of 0.5% (w/v) uranyl acetate in ethanol was dropped onto the grid. The excessive solvent was removed by Whatman no.1 filter paper and allowed to air-dry at room temperature. The dried sample was kept in a desiccator for further observation by TEM.

#### In vitro release study

The shake-flask method was employed to evaluate the dissolution profile of IND-NLCs formulations [15]. IND is a poorly water-soluble drug. Therefore, NSS (pH 5.5) contained 0.6% (v/v) Tween 80 was used as a dissolution medium to provide sink condition [16]. One ml of IND-NLCs (containing IND 0.4 mg) was mixed into 10 ml of dissolution medium and stirred at 200 rpm at 34±0.5 °C. An aliquot (500 µl) of the sample was taken at pre-determined time intervals of 5, 30, 60, 120, 180, 240, and 360 min. The fresh medium was replaced immediately after sampling to maintain a constant volume. Samples were then centrifuged using 10K Amicon centrifugal filter at 17,508 ×g for 10 min. The filtrate was collected and mixed with mobile phase before performed on HPLC system as described above.

#### Ex vivo mucoadhesive study

The retention of IND-NLCs on the corneal surface was determined using an experimental setup previously described by Chaiyasarn *et al.* [17]. Porcine eyes were obtained from the local slaughterhouse. The eyes were kept on the ice and kept moist with 1% (v/v) antibiotic solution until use (<8 h after death). The corneal tissue was cut out with a trephine (6 mm diameter) and mounted on a glass slide. IND-NLCs (10 µl) was instilled on the cornea surface. Then, the tissue was exposed to a continuous stream of pH 5.5 NSS, 34 °C, at a flow rate of 0.3 ml/min for 5, 15, 30, and 60 min to induce shear stress mimicking blink action. Finally, the cornea tissue was collected and extracted to determine the IND-NLCs adhered to the tissue using HPLC method. The percentage of IND retained on the ocular surface calculated as [(Amount of extracted IND from cornea tissue) × 100]/(Initial amount of IND-NLCs).

#### Cytotoxicity of IND-NLCs in primary porcine corneal epithelial (PCE) cells

Primary porcine corneal epithelial (PCE) cells from primary tissue explant technique were cultured as before [18, 19]. Briefly, the cornea was excised from the freshly isolated porcine eye, then sterilized with 1% (v/v) povidone-iodine for 5 min and rinsed thrice with PBS containing 1% (v/v) antibiotic-antimycotic. The explant was then placed epithelial side down onto 6-well tissue pre-coated culture plate with coating solution contained BSA, bovine collagen I, and human fibronectin. The explant was further cultured in K-SFM with supplements at 37 °C, in a humidified atmosphere containing 5% CO<sub>2</sub>. The cornea was removed after 5 d, and the outgrowing cells were maintained in culture medium for 2-3 w.

For several years, the method of choice to determine eye irritation potential was the Draize rabbit eye test. However, ethical considerations and the limited value of animal models including lack of reproducibility and overestimation of human response led to the development of alternative in vitro tests [20]. The short time exposure (STE) in vitro test is recommended for assessing eye irritation potential. The STE method is

straightforward to use and known to provide rapid results with the excellent predictive ability [21].

The cytotoxicity was assessed by the STE test using MTT assay as described by Kojima *et al.* but with some modifications [20]. The PCE cells were seeded on 96-well plate at  $2 \times 10^4$  cells/well until reaching the semi-confluence (2-3 d). Then, the cells were exposed to 200  $\mu$ l of IND-NLCs dispersed in PBS containing 5 and 0.05% (w/v) indomethacin for 5 min at room temperature. In addition, 5 and 0.05% (w/v) IND solution, PBS, and 0.01% (w/v) sodium lauryl sulfate (SLS) were used as sample test, vehicle, and positive control, respectively. After exposure, the cells were washed with PBS twice, and 200  $\mu$ l of methyl thiazol diphenyl-tetrazolium bromide (MTT) solution (0.5 mg/ml) in culture medium was added. After incubated for 2 h, MTT formazan was extracted with 200  $\mu$ l of a mixture of 0.04 N HCl in absolute isopropanol and DMSO (1:1, v/v) for 30 min. The absorbance was measured at 570 nm with a microplate reader (BioStack Ready, Vermont, USA). The relative cell viability was

calculated comparing to the vehicle, then, category and rank classification was determined as described by Takahashi *et al.* [22].

## RESULTS

### Physicochemical characteristics of blank-NLCs

Table 1 shows the effect of different solid lipids, surfactants, and PEG coating on the physical characteristics of NLCs. NLC1 and NLC3, prepared with Compritol 888 ATO as solid lipid, showed a larger particle size of  $307 \pm 29$  and  $144 \pm 8$  nm, respectively. While preparing with cetyl palmitate, NLC2 and NLC4, reduced the particle size to  $39 \pm 4$  and  $34 \pm 1$  nm, respectively.

Moreover, NLC1 and NLC2, prepared with Tween 80 as a surfactant, demonstrated a larger particle size compared to those with Gelucire 44/14, NLC3 and NLC4. The PI value of all formulations was less than 0.3 indicating a narrow size distribution. The hydrophilic coating with PEG, NLC2-PEG, affected neither the particle size nor zeta potential ( $p > 0.05$ ).

**Table 1: The components and physicochemical properties of NLCs**

Formulations	Type of solid lipid: surfactant	Mean size (nm) $\pm$ SD before autoclave (after autoclave)	Polydispersity index $\pm$ SD before autoclave (after autoclave)	Zeta potential (mV) $\pm$ SD before autoclave (after autoclave)
NLC1	Compritol: Tween 80	$307 \pm 29$ ( $261 \pm 10$ )	$0.24 \pm 0.02$ ( $0.31 \pm 0.03$ )	$-27 \pm 1$ ( $-26 \pm 2$ )
NLC2	cetyl palmitate: Tween 80	$39 \pm 4$ ( $40 \pm 3$ )	$0.34 \pm 0.02$ ( $0.21 \pm 0.08$ )	$-30 \pm 3$ ( $-24 \pm 3$ )
NLC2-PEG	cetyl palmitate: Tween 80	$42 \pm 2$ ( $37 \pm 3$ )	$0.16 \pm 0.05$ ( $0.19 \pm 0.03$ )	$-28 \pm 1$ ( $-31 \pm 2$ )
NLC3	Compritol: Gelucire 44/14	$144 \pm 8$ (PS)	$0.32 \pm 0.02$ (PS)	$-30 \pm 6$ (PS)
NLC4	cetyl palmitate: Gelucire 44/14	$34 \pm 1$ (PS)	$0.33 \pm 0.00$ (PS)	$-28 \pm 5$ (PS)

SD: standard deviation for n=3; PS: phase separation

The effects of sterilization by autoclaving on the physical characteristics of the blank-NLCs formulations were presented in table 1. The surfactant used in the formulations showed a critical effect on physical stability. NLC3 and NLC4, prepared by Gelucire 44/14 could not autoclave as evidenced by the appearance of oil droplets, phase separation, and particle aggregation. However, NLC1, NLC2, and NLC2-PEG, prepared with Tween 80, provided stable NLCs. No significant difference in all the parameters was observed before and after autoclaving ( $p > 0.05$ ).

### Physicochemical characterization of IND-NLCs

Table 2 showed the effect of different solid lipids, surfactants, and PEG coating on the physical characteristics of IND-NLCs. Compared

to blank NLCs, IND-NLCs were slightly larger ( $p < 0.05$ ). However, IND loading showed no significant influence on the zeta potential and PI ( $p > 0.05$ ). The entrapment efficiency (EE) of IND-NLC1, IND-NLC2, and IND-NLC2-PEG was  $74.11 \pm 2.81$ ,  $65.09 \pm 3.16$ , and  $62.75 \pm 4.10$ , respectively.

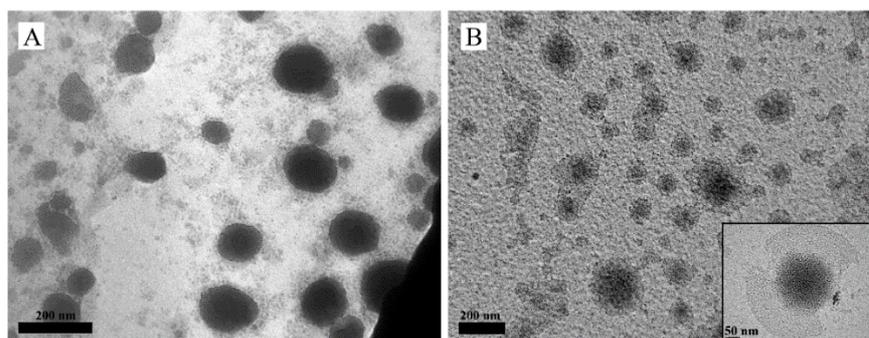
Table 2 also showed lack of any significant effect of sterilization on the physicochemical characteristics of all IND-NLCs formulations.

The morphology examined by TEM was shown in fig. 1, both uncoated IND-NLC2, and PEG-coated IND-NLC2 exhibited spherical shape. The light grey border at the periphery (fig. 1B) was attributed to the presence of PEG coating around the particle surface.

**Table 2: Physicochemical characterizations of the optimized IND-NLCs**

Formulations	Mean size (nm) $\pm$ SD before autoclave (after autoclave)	Polydispersity index $\pm$ SD before autoclave (after autoclave)	Zeta potential (mV) $\pm$ SD before autoclave (after autoclave)	Entrapment efficacy (%) $\pm$ SD before autoclave (after autoclave)
IND-NLC1	$333 \pm 19$ ( $261 \pm 10$ )	$0.27 \pm 0.05$ ( $0.31 \pm 0.03$ )	$-25 \pm 5$ ( $-26 \pm 2$ )	$74.11 \pm 2.81$ ( $73.91 \pm 0.37$ )
IND-NLC2	$46 \pm 5$ ( $40 \pm 3$ )	$0.34 \pm 0.06$ ( $0.21 \pm 0.08$ )	$-26 \pm 4$ ( $-24 \pm 3$ )	$65.09 \pm 3.16$ ( $61.10 \pm 2.84$ )
IND-NLC2-PEG	$43 \pm 2$ ( $40 \pm 3$ )	$0.12 \pm 0.02$ ( $0.21 \pm 0.08$ )	$-28 \pm 1$ ( $-24 \pm 3$ )	$62.75 \pm 4.10$ ( $60.35 \pm 1.49$ )

SD: standard deviation for n=3



**Fig. 1: TEM micrographs of (A) IND-NLC2 and (B) IND-NLC2-PEG**

### In vitro release study

IND has a poor solubility of  $0.83 \pm 0.04$   $\mu\text{g/ml}$  in NSS at ambient temperature. Therefore, 0.6% (w/v) Tween 80 was added to the NSS to provide a sink condition by increasing the solubility of IND to  $206.67 \pm 7.08$   $\mu\text{g/ml}$ . As shown in fig. 2, all formulations showed a biphasic release profile with a burst release during 5 min followed by a prolonged release up to 6 h following Higuchi's model (Supplemental table 1). At the first 5 min, the cumulative release of IND from the IND-NLC1 and IND-NLC2 was  $48.24 \pm 3.15$  and  $56.14 \pm 9.86\%$ , respectively. Then, they increased to  $93.89 \pm 4.76$  and  $98.25 \pm 4.20\%$ , respectively, after 6 h. Moreover, in the case of IND-NLC2-PEG, the cumulative released of IND at 5 and 360 min was  $47.64 \pm 0.92$  and  $80.04 \pm 4.72\%$ , respectively. The results showed that the smaller particle size showed faster drug release rate than larger ones. Moreover, IND-NLC2-PEG showed slower drug release rate than uncoated ones (IND-NLC2).

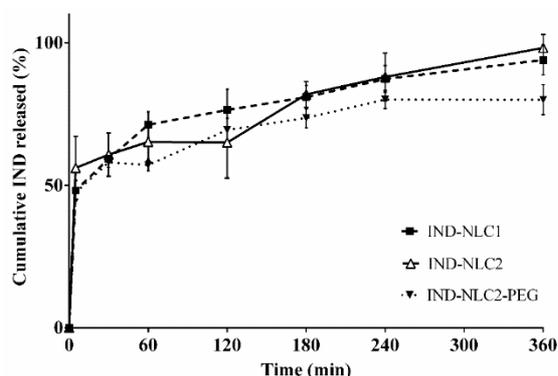


Fig. 2: *In vitro* release profiles of IND from IND-NLC1, IND-NLC2, and IND-NLC2-PEG in NSS with 0.6% (w/v) Tween 80 at 34 °C. Error bars represent standard deviation for n=3

### Ex vivo mucoadhesion test

The percentage of IND remaining on the porcine cornea tissue after installation was depicted in fig. 3. After 5 min of fluid flow, cornea

tissue instilled with IND solutions showed ~28% remaining while those instilled with IND-NLC1, IND-NLC2, and IND-NLC2-PEG showed ~59%, ~70%, and ~73% remaining, respectively. Moreover, nearly 100% loss occurred after 60 min of fluid flow when instilled with IND solutions, IND-NLC1, and IND-NLC2. On the other hand, IND-NLC2-PEG showed ~8% remaining after 60 min of continuous fluid flow. These results indicated that small NLCs (IND-NLC2) showed higher mucoadhesive property than larger NLCs (IND-NLC1), especially, NLCs coating with PEG provided the highest mucoadhesiveness on ocular surface.

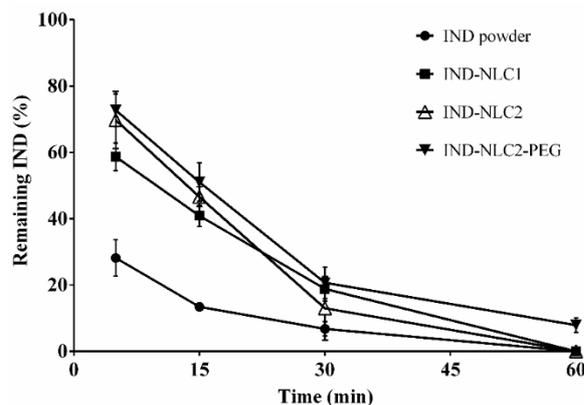


Fig. 3: The percentage of IND remaining on porcine cornea tissue after a steady flow of NSS for 60 min. Error bars represent standard deviation for n=3

### Cytotoxicity of IND-NLCs

As shown in table 3, exposure of PCE cells to IND-NLC2 and IND-NLC2-PEG contained 0.5 and 5% IND, showed cell viability of >70%. Based on Takahashi *et al.*, they could be classified as non-irritants [22]. On the other hand, 5% of IND-solutions and 0.01% SLS (positive control) exhibited cell viability (%) of  $56.16 \pm 4.23$  and  $59.69 \pm 3.96$ , respectively, confirming their irritation potential [22].

Table 3: Summary of short time exposure (STE) tests performed by MTT assay

Sample	IND (% w/v)	Cell viability (%) (mean $\pm$ SD)	STE classification <sup>a</sup>
IND-NLC2	5	72.47 $\pm$ 1.51	non-irritant
	0.05	92.76 $\pm$ 2.54	non-irritant
IND-NLC2-PEG	5	73.63 $\pm$ 1.05	non-irritant
	0.05	91.24 $\pm$ 4.81	non-irritant
IND-solution	5	56.16 $\pm$ 4.23	irritant
	0.05	72.56 $\pm$ 2.47	non-irritant
SLS	0.01	59.69 $\pm$ 3.96	irritant

<sup>a</sup>Eye irritation potential classification STE; Cell viability >70% is classified as non-irritant [21], SD: standard deviation for n=3

### DISCUSSION

Topical NSAIDs frequently produce side effects and adverse reactions ranging from burning sensation, stinging, and to minor signs of ocular irritation [23]. Stroobant *et al.*, reported several formulations of topical NSAIDs eye drops to impact the rabbit corneal epithelial adversely [24]. Hence, safe and effective formulations of topical NSAIDs remain an unmet need in ophthalmic therapeutics [25]. In this study, NLCs was employed as a drug carrier with the goal not only to overcome the adverse effects of topical NSAIDs but also to provide enhanced bioavailability, which could be improved by enhanced residence time on the cornea surface.

IND-NLCs were successfully prepared by a high-pressure homogenization technique. This technique has been used extensively because of its advantages including reliability, ease of scale-up, and cost-effectiveness [26]. The particles produced were found to be

suitable for ophthalmic applications with size ranging ~40-300 nm. In addition, all INC-NLCs formulations exhibited a high zeta potential of -30 mV, which would provide a long-term physical stability. The negative charge could be attributed to the presence of medium chain triglyceride carboxylic group on the particle surface [27].

In agreement with previous reports, the number of the fatty acid side chain on solid lipid and surfactant had a significant effect on the particle size of NLCs [28, 29]. In case of solid lipid type, NLCs prepared with Compritol 888 ATO (fatty acid side chains, C<sub>22</sub>) resulted in larger particle size than NLCs prepared with cetyl palmitate (fatty acid side chains, C<sub>16</sub>). Similarly, NLCs stabilized with Tween 80 (fatty acid side chains, C<sub>18</sub>), showed larger size than NLCs stabilized with Gelucire 44/14 (fatty acid side chains, C<sub>12</sub>). These could be attributed to the solid lipid, and surfactant with long fatty acid side chain commonly forms larger particle size.

The type of liquid lipid, solid lipid and surfactant all play an important role in incorporating a drug into NLCs [30]. In general, the incorporation of a liquid lipid consisting of a medium chain triglyceride along with a solid lipid consisting of a long chain triglyceride is known to increase the loading capacity and also enable controlled release [31]. Therefore, Lexol GT865 (medium chain triglyceride, C<sub>8</sub> and C<sub>10</sub>) was chosen as a liquid lipid in all formulations [32]. As expected, IND-NLC1 prepared with Compritol 888 ATO (fatty acid side chains, C<sub>22</sub>) showed higher EE compared to IND-NLC2 prepared with cetyl palmitate (fatty acid side chains, C<sub>16</sub>). This could be explained by increasing the space created between the solid fatty acid chain and the medium chain triglyceride, allowing more drug to be accommodated [33, 34]. In addition, the complete IND incorporation was confirmed by polarized light microscopy (data not shown).

Sterilization of ophthalmic formulation is critical for topical application as microbial contamination should be prevented [35, 36]. One of the strongest advantages of these NLCs is possible to sterile by an autoclaving method which is a commonly used and reliable technique. Taken together, the components for the preparation of NLCs must withstand the conditions of sterilization. As shown in table 1, the physicochemical properties of NLCs were not affected by the type of solid/liquid lipids and polymer after autoclaving. However, the type of surfactant appears to have a profound influence. We found that NLCs prepared with Tween 80 withstood the sterilization by autoclaving in agreement with previous reports [37, 36]. The phenomena could be explained by the difference in the fatty acid side chain of the surfactants. During autoclaving, the lipid matrix melts and then recrystallizes again during cooling at room temperature. As Tween 80 has a side chain of 18 carbon atoms, its long chain could have more chance to penetrate to the solid lipid phase, consequently lead to more compact and stronger particles. Gelucire 44/14, on the other hand, is composed of 12-carbon short side chain and hence it would be harder to stabilize the particles leading to phase separation.

Depending on the production process and especially different lipid blended, the different type of NLCs are obtained [26]. Due to the ratio of solid lipid/oil, 5/4, used in developed NLCs formulations, we assumed that the multiple types of NLCs would be achieved [31]. According to Müller *et al.*, high levels of the oil can exceed their solubility in the solid lipid leading to precipitation as tiny oil nano compartments within the lipid matrix during the cooling process [26]. These oil nano compartments can contain a higher amount of IND. However, the release of the drug would still be controlled by the surrounding solid lipid barrier. These observations help explain *in vitro* release data as discussed below.

As shown in fig. 2, we found biphasic IND release, with the burst phase contributing up to 50%. This burst release arguably would be beneficial since therapeutic drug levels can be reached after the administration [39]. The burst release of IND could be attributed to the re-distribution of IND during preparation process [26]. During hot homogenization, heating leads to an increased IND solubility in the water phase, thus, some drug partition from the melt lipid droplet to the water phase. However, during cooling, the solubility of the drug in water phase decreases leading to a re-partitioning of the drugs into the lipid phase. At the same time, the lipid phase starts to solidify and thus, the drugs are not accessible for the re-partitioning. Therefore, some of IND are accumulated at the matrix surface leading to the burst release characteristics.

However, after the burst release, the release profile could be the best fit to the Higuchi square root model. This indicates that IND released from the NLCs in the second phase occurs by a diffusion-controlled mechanism from oil nano-compartment to the matrix for subsequent release to the medium. Also, the release of IND-NLC2 coated with PEG, an uncharged hydrophilic polymer, is slightly reduced possibly due to the presence of the polymer in the outer regions of the particles.

IND-NLCs showed greater adhesion on the porcine cornea tissue compared to IND solutions. It was found that the small IND-NLC2 (~40 nm) showed higher retention than large IND-NLC1 (~300 nm). Li *et al.*, had suggested that NLCs with a particle size of 100 nm could

be easily inserted into the branching sugar chains of mucin and thereby led to a stronger mucoadhesive property, compared to NLCs with a particle size of 200 and 300 nm [11]. This could be attributed to the small particle size exhibits more surface area to adhere on the corneal surface. In addition, surface modification of NLCs by coating with PEG could further improve ocular mucoadhesion. As Kashanian *et al.*, noted that NLCs coating with PEG leads to an increment of nanoparticles penetration through mucus layer of the ocular surface possibly via PEG interpenetrating the mucus network aided by hydrogen bonding [40-42].

As a first step, we assessed the *in vitro* cytotoxicity of IND-NLCs in PCE cells following STE test protocol recommended as a potential alternative method for the assessment of ocular irritation in place of animal testing [20]. We have found the two candidates NLCs formulations (IND-NLC2 and IND-NLC2-PEG) caused much less toxicity compared to IND in solutions. Interestingly, IND nanoparticles eye drops (containing 0.5% indomethacin) prepared using zirconia beads and Bead Smash 12, the particle size of 76±59 nm, are much tolerated better by a human cornea epithelial cell line (HCE-T) and rat corneal epithelial cells than commercial IND eye drops [5]. This could be explained by its sustained release, which lowers the risk of locally high concentrations, decreasing the direct cells stimulation leads to minimize local irritation. Therefore, the releasing of IND from IND-NLCs to the cells provided a less toxicity compared to direct cells stimulation form IND-solutions. Moreover, the nanoparticle formulations may decrease in the drug dose via an increase in bioavailability, thus resulting in a reduction in drug toxicity. Furthermore, IND-NLCs can be easily developed avoiding the use of organic solvents, and the selection of Tween 80 as a surfactant was reported to be non-irritating to the rabbit eye up to a concentration of 10% and has been used in a number of marketed ophthalmic solution eye drop [43].

## CONCLUSION

IND-NLCs were successfully prepared by a high-pressure homogenization technique to overcome the problems of IND on the topical ophthalmic formulations. Additionally, NLCs are solid at room and body temperature which can be formulated as nano-dispersions in liquid dosage forms. Therefore, they can be administered as an eye drop to avoid blurred vision and comfortable due to the nano size. The developed NLCs with Tween 80 could be sterile by the autoclaving method. The particle size of ~300 and ~40 nm was produced depending on the type of solid lipid. Small and PEG-coated IND-NLCs enhance the mucoadhesion on the freshly porcine cornea which expected to improve ocular bioavailability. In addition, the developed NLCs showed less cytotoxicity to PCE cells compared to IND solution. Therefore, these nanoparticles will show increased effectiveness in treating ocular inflammation requiring the long-term application of eye drop with less toxicity. In conclusion, our findings suggest that NLCs with small size and PEG-coating demonstrate a promising approach for ocular drug delivery.

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## AUTHORS CONTRIBUTIONS

All the authors have contributed equally

## CONFLICT OF INTERESTS

The authors declare that there is no conflict of interest

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